



## COMPTROLLER'S INVESTIGATIVE REPORT

### Apollo Middle Prep PTA

*September 17, 2020*

**Justin P. Wilson**  
*Comptroller of the Treasury*



**DIVISION OF INVESTIGATIONS**



JUSTIN P. WILSON  
*Comptroller*

JASON E. MUMPOWER  
*Deputy Comptroller*

September 17, 2020

Metro Nashville Public Schools  
Director Adrienne Battle  
2601 Bransford Ave  
Nashville, TN 37204

and

Apollo Middle School  
Principal Stephanie Adams  
631 Richards Road  
Antioch, TN 37013

Director Battle and Principal Adams:

The Office of the Comptroller of the Treasury conducted an investigation of selected records of the Apollo Middle Prep PTA, and the results are presented herein.

Copies of this report are being forwarded to Governor Bill Lee, the State Attorney General, the District Attorney General of the 20<sup>th</sup> Judicial District, certain state legislators, and various other interested parties. A copy of the report is available for public inspection in our office and may be viewed at <http://www.comptroller.tn.gov/ia/>.

Sincerely,

A handwritten signature in black ink that reads "Justin P. Wilson".

Justin P. Wilson  
Comptroller of the Treasury

JPW/MLC

# INVESTIGATIVE REPORT

## Apollo Middle Prep PTA

The Office of the Comptroller of the Treasury investigated allegations of malfeasance related to the Apollo Middle Prep PTA (PTA). The Comptroller's Office initiated the investigation after officials with the PTA identified and reported questionable transactions in the PTA bank records. The results of the investigation were communicated with the Office of the District Attorney General of the 20<sup>th</sup> Judicial District.

### BACKGROUND

The PTA was an officially recognized school support organization specifically affiliated with Apollo Middle School. Apollo Middle School is in Antioch, Tennessee and is part of the Metro Nashville Public School System. The PTA's primary purpose was to promote the welfare of children and youth through an advocacy and educational program directed toward parents, teachers and the general public.



The PTA was formed in the Fall of 2017 with a President, Treasurer, and Christy Floyd-Faria as the Secretary serving as officers. Decreased involvement by other officers, however, enabled Floyd-Faria to assume the responsibilities of the Treasurer in February 2018 without any oversight, and at this time she received a PTA debit card. Floyd-Faria's first purchase for the PTA was on February 28, 2018, and her last purchase was on May 14, 2018 at which time the bank account had a deficit balance of over \$1,000. The PTA ceased operations and the bank eventually closed the account in July 2018.

### RESULTS OF INVESTIGATION

- **FORMER PTA SECRETARY CHRISTY FLOYD-FARIA MISAPPROPRIATED PTA FUNDS TOTALING AT LEAST \$2,571**

From February 2018 through May 2018, PTA secretary Christy Floyd-Faria misappropriated PTA funds totaling at least \$2,571. Investigators found that Floyd-Faria used the PTA debit card to make unauthorized ATM cash withdrawals and to make personal purchases at restaurants, grocery stores, gas stations, and multiple retail stores. In

fact, of the 49 PTA debit card transactions Floyd-Faria made from February 2018 through May 2018, only one, made in May 2018, was for the benefit of the PTA. Refer to **Exhibit 1** for a portion of the March 2018 bank statements showing fraudulent transactions.

**Exhibit 1**

WITHDRAWALS							
03/01	Pin Purchase	MAPCO Exp#3220	5541 Nashville	TN	7922		10.00
03/05	Pin Purchase	Publix Super M	5411 Mount Juliet	TN	7922		19.58
03/06	Pin Purchase	MAPCO Exp#3220	5541 Nashville	TN	7922		20.00
03/07	Pin Purchase	Dollar Tr 5360	5331 Antioch	TN	7922		6.07
03/08	Pin Purchase	Compton S Food	5411 Nashville	TN	7922		18.21
03/13	Pin Purchase	Compton S Food	5411 Nashville	TN	7922		23.01
03/13	Pin Purchase	Kroger #5 2284	5411 Nashville	TN	7922		86.54
03/15	Pin Purchase	Kroger Fu 5319	5541 Antioch	TN	7922		15.00
03/15	Pin Purchase	Dollar General	5310 Nashville	TN	7922		17.64
03/16	ATM Withdrawal	PNC Bank	1400 Eagle VI Antioch		TN Pm2947	7922	50.00
03/19	ATM Withdrawal	PNC Bank	1400 Eagle VI Antioch		TN Pm2947	7922	100.00
03/21	ATM Withdrawal	PNC Bank	1400 Eagle VI Antioch		TN Pm2946	7922	400.00
03/23	Pin Purchase	Dollar-General	5310 Nashville	TN	7922		33.41
03/23	ATM Withdrawal	PNC Bank	1400 Eagle VI Antioch		TN Pm2946	7922	170.00
03/26	ATM Withdrawal	PNC Bank	1400 Eagle VI Antioch		TN Pm2947	7922	300.00
Total Withdrawals							\$1,269.46

*Portion of March 2018 PTA bank statement showing fraudulent purchases and ATM withdrawals by Floyd-Faria.*

Floyd-Faria's personal purchases included clothing, laundry detergent, cat food, cat litter, make-up, hair accessories, toys, candy, and other food items. (Refer to Exhibits 2 and 3.)

**Exhibit 2**

-----		
DGH 12 ROLL PREMIUM	5.00	S
036196213498-130		
EVERPET GOURMET CAT	2.50	S
070155142238-105		
GOODNITES S M GIRL	5.00	S
036000440812-300		
FRESH STEP CAT LITTE	3.65	S
044600020013-105		
SUBTOTAL	\$16.15	
Tax1	\$1.49	
TOTAL SALE	\$17.64	
US DEBIT	\$17.64	
PIN VERIFIED		

*Receipt showing personal purchases by Floyd-Faria using PTA debit card.*

**Exhibit 3**

CARD BIRTHDAY	009210090032	7.97	X
OP 70 C SPRY	000077411441H	8.97	X
FULIP	072344290401	9.87	X
BOYS HAT	088634989336	6.97	X
TANKINI	019060834566	8.84	X
SS GRH TEE	001521326088	4.97	X
SS GRH TEE	001521326093	4.97	X
PRT SHORT	001521326220	4.97	X
SD BERMUDA	001521321470	4.97	X
SS GRH TEE	001521326103	4.97	X
LACE SHORT	001521326203	4.97	X
SOLD TANK	001521323672	2.97	X
AW SHORT	004347554339	4.96	X
NB TANK	880957609460	3.88	X
AW SHORT	004347554164	4.96	X
NB TANK	880957609401	3.88	X
MESH SHORT	066216330058	5.44	X
NB TANK	076859432575	7.92	X
RASH GUARD	880944006495	7.98	X
BOYS SHORT	081295403770	4.44	X
LICENSE TANK	019037172005	6.97	X
NB SHORT	074564687538	13.88	X
UN TOP	880956007570	1.68	X
BOYS SHORT	081295403752	4.44	X
NB TANK	019228411245	7.92	X
NB TANK	880957609465	3.88	X
LIC KNIT TOP	088764880115	5.00	T
AW SHORT	004347554161	4.96	X
AW SHORT	004347554336	4.96	X
BOYS SHORT	081295403806	4.44	X
NB TANK	880957609486	3.88	X
PERF TEE	076125304518	4.97	X
BOYS SWIM	084307310317	7.98	X
HLPONY HAT	079443433820	5.97	X
LIC TEE	019037139091	6.97	X
AW SHORT	004347554353	3.00	T
YT CANI	880633522896	1.68	X
	SUBTOTAL	211.45	
	TAX 1	9.250	8
	TOTAL	231.01	
	DEBIT TEND	231.01	

*Receipt showing personal purchases by Floyd-Faria using PTA debit card.*

In May 2018, Floyd-Faria deposited three personal checks totaling \$1,450 into the PTA bank account. Floyd-Faria told investigators she deposited her personal checks to pay some of the money back. However, the bank returned all three checks due to her insufficient funds and charged the PTA’s account with related fees of \$30.

Floyd-Faria admitted to investigators that she used PTA funds for personal expenses without the knowledge or the consent of PTA officers or members.

**Summary of Misappropriation by Floyd-Faria**

Method of Misappropriation	Amount
ATM cash withdrawals for personal benefit (with related fees)	\$ 1,410
PTA debit card purchases for personal benefit	1,131
Bank fees related to Floyd-Faria personal checks returned for insufficient funds	30
<b>Total Misappropriation</b>	<b>\$2,571</b>

On August 13, 2020, the Davidson County Grand Jury indicted Christy Floyd-Faria on one count of Theft over \$2,500, and one count of Fraudulent Use of a Credit or Debit Card over \$2,500.

[Apollo Middle Prep PTA Investigation Exhibit](#)

**INTERNAL CONTROL AND COMPLIANCE DEFICIENCIES**

Officers of the PTA, like officers of all other school support organizations, are required to ensure the funds and property of their organization are safeguarded and used only for purposes related to the goals and objectives of the organization. Pursuant to *Tennessee Code Annotated*, Section 49-2-610, the Comptroller published the “Model Financial Policy for School Support Organizations - Procedures Manual” (Model Financial Policy) in 2008 to assist PTA officers in discharging their obligation to safeguard the funds and property of their respective organizations.

To that end, the Model Financial Policy prescribes the minimum financial accountability controls that PTA officers must follow. Failure to follow the Model Financial Policy resulted in the following deficiency which enabled Floyd-Faria to avoid detection in the perpetration of her scheme:

**Deficiency: PTA officials failed to provide adequate oversight of financial transactions**

PTA officials failed to provide proper oversight over PTA financial transactions. Investigators found that no other officer or member reviewed bank statements, which allowed Floyd-Faria's scheme to remain undetected for more than three months before the bank sent notification that the account was overdrawn. Adequate financial oversight of the PTA ensures funds are spent only for authorized purposes.

---