

Offices of Research and Education Accountability

TENNESSEE COMPTROLLER OF THE TREASURY, JUSTIN P. WILSON

Policy History

Tennessee's Pre-Kindergarten Program

Contact: Nneka Gordon, Associate Legislative Research Analyst (615) 401-7877 / Nneka.Gordon@tn.gov

October 2009

This policy history outlines the origins and development of state-funded pre-kindergarten (pre-K) in Tennessee. (See Exhibit 1.) Information on program eligibility, funding sources, and new federal grants is also provided.

The push for state-funded pre-K in Tennessee began in earnest in the early 1990s. Action in both the legislative and executive branches of government produced early childhood policies, proposals for state funding of early childhood education programs, and related rules and regulations.

Exhibit 1: Origins and Development of state-funded pre-kindergarten in Tennessee

	1963	Legislation passed permitting school systems to use federal or local funds for pre- kindergarten programs. ¹
	1990	Resolution passed directing the State Board of Education and Department of Education to convene a task force "for the purpose of developing and proposing a state plan for establishment of a comprehensive system of [state-funded] early childhood and parent education programs for at-risk children and their parents."
		The task force developed Tennessee's policy for early childhood education and parent involvement

in 1991. This policy outlined the principles and requirements of a quality early childhood program with comprehensive, family-focused services.³

1992 The earliest versions of the bill that became the Education Improvement Act of 1992 (EIA) mentioned pre-kindergarten.⁴

The State Board of Education's Master Plan for Tennessee's schools supported the allocation of state funds to provide additional early childhood education and parent involvement programs for atrisk, four-year-old children and their families beginning in FY 1992. The McWherter administration's initial 1992-93 budget included funds consistent with the Master Plan; however, the administration dropped this allocation to accommodate the revenue shortfall and lack of new revenue sources.⁵

The State Board of Education adopted the Tennessee Early Childhood Education Plan.

Including rules for programs serving at-risk three- and four-year-olds, this plan sought to enable local communities to assist families in preparing children for school success and to effectively coordinate community-based support services.⁶

1996 Legislation passed permitting early childhood pilot programs for economically disadvantaged three- and four-year-olds.⁷

Governor Sundquist proposed a phase-in of universal pre-kindergarten, beginning with the most economically disadvantaged children.⁸ The initial State Board plan called for \$3.8 million to fund 10 pilot centers to serve 600 at-risk three- and four-year-olds.⁹ Ultimately, the state allocated about \$3 million in 1998.¹⁰

2003 Legislation passed expanding early childhood pilot program eligibility.

Previously, three- and four-year-olds living below the poverty level qualified for the Early Childhood Education (ECE) Pilot Project; this legislation expanded program eligibility to include three- and four-year-olds meeting free and reduced price lunch income requirements.¹¹

2005 The Voluntary Pre-Kindergarten Act passed.

This legislation increased Tennessee's financial investment in early childhood education from \$10 million to \$35 million. In addition, both the number of classrooms and number of students served tripled following this legislation. 12

Early Childhood Education Pilot Project

These efforts culminated in the passage of legislation in 1996 authorizing the Early Childhood Education (ECE) Pilot Project for economically disadvantaged three- and four-year-olds. ¹³ Tennessee invested slightly over \$3 million from state funds for the pilot pre-K program in 1998-99. This program served about 600 three- and four-year-olds who were eligible for Head Start, but previously had not been served. ¹⁴

who meet free or reduced-price lunch income guidelines and are four years old by September 30 of the year they begin pre-K. Depending on space availability, the program may then enroll:

- children with disabilities,
- English Language Learners,
- children in state custody, or
- children at risk due to abuse or neglect, regardless of income.

In the middle of school year 2000-01, Tennessee added \$9 million in non-recurring funds from the Temporary Assistance to Needy Families (TANF) program to provide for participation of 1,800 additional children.15 State funding of the pilot sites was supplemented again with TANF funds in 2001-02. The state once again became the program's sole funding source in 2002-03.16 At its peak, the pilot pre-K program served 3,000 students statewide.17

Voluntary Pre-Kindergarten

The passage of the Voluntary Pre-Kindergarten (VPK) for Tennessee Act of 2005 represented a significant expansion of state funding for pre-k; it established the program currently in operation. The legislation provided for the use of \$25 million in excess lottery revenues to establish approximately 300 new pre-K classrooms through a competitive grant process.18 (See Exhibit 2 for an outline of Tennessee's pre-K program growth.) First priority is given to children

Exhibit 2: Growth of Tennessee's Pre-Kindergarten program

Year	Funding	# Classes	# Children (approximate)
1998-1999	\$3 Million State	30	600
1999-2000	\$6 Million State	60	1, 200
2000-2001	\$6 Million State	60	1,200
Mid-Year Received	+ \$9 Million TANF	+ 90	+1,800
Total 2000-2001	\$15 Million	150	3,000
2001-2002	\$15 Million \$6 Million State \$9 Million TANF	150	3,000
2002-2003	\$10 Million State Lost \$9 Million TANF Added \$4 Million State	150	3,000
2003-2004	\$10 Million State	150	3,000
2004-2005	\$10 Million State	148	3,000
2005-2006	\$35 Million \$10 Million State \$25 Million Lottery	448	9,000
2006-2007	\$55 Million \$30 Million State \$25 Million Lottery	677	13,000
2007-2008	\$80 Million \$55 Million State \$25 Million Lottery	934	18,000
2008-2009	\$83 Million \$58 Million State \$25 Million Lottery	934	18,000

Note: In 2007-08, Tennessee's pilot program received \$10 million in state revenue; VPK received \$25 million of recurring state lottery funds and \$45 million from the state's general fund. Sources: Bobbi Lussier, Executive Director, Office of Early Learning, Tennessee Department of Education, "Re: Questions regarding Tennessee's Pre-Kindergarten program," e-mail to the author, June 8, 2009. Tennessee Alliance for Early Education, Voluntary Pre-K in Tennessee: Understanding the Collaboration Model, p. 3.

Exhibit 3: State Pre-Kindergarten enrollment (percent of 4 yr olds), 2002 and 2008

	2002	2008
Alabama	1	4
Arkansas	6	28
Florida	0	61
Georgia	53	53
Kentucky	24	28
Louisiana	12	30
North Carolina	1	23
Oklahoma	56	71
South Carolina	29	35
Tennessee	2	21
Texas	39	45
United States	14	24

Note: Florida's Voluntary Prekindergarten (VPK) program was established in 2005.

Source: National Institute for Early Education Research, "The State of Preschool 2008," accessed May 26, 2009, www.nieer.org.

Local education agencies may then enroll other children, space permitting, based on guidelines issued by their local Community-Pre-K Advisory Council. 19 To expand the number and type of pre-K providers, the legislation also allows for collaborations with community partners and private providers (e.g., Head Start, forprofit and not-for-profit child care providers, and higher education settings). 20

Pre-K is not funded through the state's Basic Education Program (BEP). However, Tennessee requires each local school system to provide a matching amount of funds based on its BEP formula state/local match requirement as a condition of receiving state pre-kindergarten funds.²¹

The state's investment of lottery and general fund revenues into the pre-K program has resulted in a significant expansion of pre-K in Tennessee by several

Exhibit 4: Status of Tennessee's Voluntary Pre-Kindergarten Program, 2008-09 school year unless noted

Number of four-year-olds participating	More than 18,000			
Percent of at-risk four-year-old population enrolled (2006-2007)	45% ^a			
Number of classrooms	934			
Number of participating school districts	133 of 135 (94 of 95 counties) ^b			
Percent of participating school districts	99%			
Adult: child ratio	1:10			
Teacher qualifications	Bachelor degree with pre-K endorsement (i.e., Pre-K3, Pre-K4, Pre-K3 Special Education, Pre-K 1 Special Education, and Pre-K-K)			
Curriculum	Comprehensive, age appropriate, aligned with the Tennessee Early Childhood Education – Early Learning Developmental Standards (TN-ELDS)			
State Funding (per pupil) (2007-08 School year)	\$4,465			
Funding	FY 2008-09 - \$83 million (\$58 million state and \$25 million lottery) FY 2009-10 - \$83 million (\$80 million state and \$3 million lottery)			
Local Match Required	Yes			
Number of collaborations (2009)	212			
Number of NIEER quality standards met (2007-08 School year)	9 (out of 10)			

a: Tennessee's enrollment number includes a small number of three-year-olds, since the state-funded pilot pre-kindergarten program serves both three- and four-year-olds.

b: Tennessee has 136 school systems. Only 135 are eligible to offer pre-K. One school system provides services only to the special school districts in the county. The following counties/school systems do not have a state-funded pre-Kindergarten program: Sumner County, Sumner County Schools, and Washington County Schools.

Sources: Tennessee Alliance for Early Education, "Voluntary Pre-K in Tennessee: Understanding the Collaboration Model," March 2008, pp. 2, 4.; National Institute for Early Education Research, "The State of Preschool 2008," accessed May 26, 2009, www.nieer.org, pp. 130-131; State of Tennessee, Office of Early Learning; State of Tennessee, Department of Education, "2008-2009 Pre-Kindergarten Fact Sheet," accessed April 20, 2009, https://www.tennessee.gov/education/prek; Bobbi Lussier, Executive Director, Office of Early Learning, Tennessee Department of Education, "Re: Questions regarding Tennessee's Pre-Kindergarten program," e-mail to the author, June 8 and 9, 2009.

measures. By the 2007-08 program year, approximately 18,000 children participated in the program, compared to 3,000 in the years when only the pilots were operational. State funding through the general fund for pre-K classes rose from \$10 million in 2004-05 to \$80 million in 2009-10.²² In 2008, Tennessee was ranked 13th in the nation in state spending for pre-K programs, and 17th when state, local, federal, and other sources of expenditures were considered.²³

Although Tennessee's pre-K program has experienced considerable growth over the last five years, the average percentage of four-year-olds served is lower than the national average and lower than most other southern states. (See Exhibit 3.)

The number of students served has remained essentially flat since the 2007-08 program year, the last year for which expansion funding was available. For fiscal year 2008-09, the program received \$3 million in increased appropriations to cover inflationary costs. Governor Bredesen's initial 2009-10 budget proposed an additional \$25 million for the program; however, this funding was reduced following a significant revenue shortfall.²⁴

Exhibit 4 summarizes selected statistics for Tennessee's Voluntary Pre-Kindergarten program.

Federal early childhood education initiatives

According to state officials, local school districts will have the option of expanding and improving pre-K programs with American Recovery and Reinvestment Act (ARRA) funds. ²⁵ ARRA made an additional \$400 million available to states under Part B Preschool Grants of the Individuals with Disabilities Education Act (IDEA) for early special education services (covering children ages three to five). As of April 1, 2009, states could receive 50 percent of this \$400 million allocation without submitting a new application. The remaining 50 percent is to be awarded by September 30, 2009, contingent on approval of states' recordkeeping and reporting submissions. ²⁶

President Obama's federal budget proposal also includes substantial measures to improve and increase access to early education opportunities. Specifically, the FY 2010 budget proposes two new early learning education programs:²⁷

- The Early Learning Challenge Fund (\$300 million): This program would provide grants for the development of state plans and statewide infrastructure of integrated early learning supports and services for children from birth through age five to raise the quality of statefunded early learning programs and improve children's readiness to learn upon school entry.
- Title I Early Childhood Grants (\$500 million): This program is to provide matching grants to Title I local education agencies that are using ARRA funds to expand existing pre-K programs or implement new early-childhood education programs for low-income students.²⁸

Summary

This policy history outlines the origins and development of state-funded pre-kindergarten in Tennessee.

Created by 1996 legislation, the Early Childhood Education (ECE) Pilot Project served economically disadvantaged three- and four-year-olds. Passage of the 2005 Voluntary Pre-Kindergarten Act resulted in a significant expansion of funding for and enrollment in state-funded pre-K in Tennessee. This policy history also highlights several federal early childhood education initiatives that serve as another source of funding for Tennessee's pre-K program.

Endnotes

- ¹ Comptroller of the Treasury and the Tennessee Advisory Commission on Intergovernmental Relations, *Why pre-k?*, March 2001, p. 6, http://www.comptroller1.state.tn.us/repository/RE/prekinder.pdf (accessed March 11, 2009).
- ² House Joint Resolution 736 (1990).
- State Board of Education, "Tennessee Early Childhood Education Plan," draft proposed Dec. 8, 1994, approved in 1995, p. 1.
- ⁴ Why pre-k?, p. 6.
- ⁵ State Board of Education, "Report on Actions by the General Assembly Regarding the Education Improvement Act of 1991," May 30, 1991, p. 2; State Board of Education and Tennessee Department of Education, "Policy for Early Childhood Education and Parent Involvement in Tennessee," Nov. 15, 1991, p. 3.
- ⁶ "Tennessee Early Childhood Education Plan," approved in 1995, p. i.
- Public Chapter 954 (1996).
- ⁸ Why pre-k?, p. 7.
- ⁹ Tennessee State Board of Education, "Master Plan for Tennessee Schools Action Plan," Dec. 8, 1994 Draft, p. 1.
- ¹⁰ Bobbi Lussier, Executive Director, Office of Early Learning, Tennessee Department of Education, "Re: Questions regarding Tennessee's Pre-Kindergarten program," e-mail to the author, June 8, 2009.
- ¹¹ Public Chapter 110 (2003).
- ¹² Bobbi Lussier, e-mail to the author, June 8, 2009.
- ¹³ Public Chapter 954 (1996).
- ¹⁴ Why pre-k?, p. 8.
- ¹⁵ Bobbi Lussier, e-mail to the author, June 8, 2009.
- Regina Riley, Pre-K Teachers' Employment Rights and Benefits, Comptroller of the Treasury, Office of Education Accountability, March 2009, p. 2, http://www.comptroller1.state.tn.us/Repository/RE/Pre-kTeachers2008.pdf (accessed April 3, 2009); Bobbi Lussier, e-mail to the author, June 8, 2009.
- ¹⁷ Bobbi Lussier, e-mail to the author, June 8, 2009.
- Tennessee Alliance for Early Education, Voluntary Pre-K in Tennessee: Understanding the Collaboration Model, March 2008, p. 1, http://www.prekfortn.com/ (accessed Aug. 28, 2008); Strategic Resource Group, Assessing the Effectiveness of Tennessee's Pre-Kindergarten Program: Second Interim Report, Aug. 18, 2008, p. 59, http://www.comptroller1.state.tn.us/Repository/RE/prekeval08.pdf (accessed March 6, 2009).
- 19 Community-Pre-K Advisory Councils (C-PACs) establish criteria for identifying children who need pre-K intervention but do not qualify under the state definition of at-risk. Examples of eligibility criteria established by C-PACs include: children of teen parent(s) or active duty military parent(s) and children in the care of grandparents or other family members. CPACs then develop guidelines for prioritizing enrollment of children who meet one or more of the eligibility criteria. Enrollment guidelines and eligibility criteria vary among LEAs.
- ²⁰ Public Chapter 312 (2005); Tennessee Alliance for Early Education, Voluntary Pre-K in Tennessee: Understanding the Collaboration Model, p. 4.
- ²¹ Tennessee Alliance for Early Education, Voluntary Pre-K in Tennessee: Understanding the Collaboration Model, p. 3.
- ²² Bobbi Lussier, e-mail to the author, June 8, 2009; Bobbi Lussier, Executive Director, Office of Early Learning, Tennessee Department of Education, "Re: Additional questions on Tennessee's Pre-Kindergarten program," e-mail to author, June 9, 2009.
- ²³ National Institute for Early Education Research, *The State of Preschool 2008*, p. 130, http://www.nieer.org, accessed May 26, 2009).
- ²⁴ Public Chapter 1203 (2008), Section 58, Item 3, http://state.tn.us/sos/acts/105/pub/pc1203.pdf.
- Tennessee Department of Education, "American Recovery and Reinvestment Act (ARRA)," March 26, 2009, Part 4, http://www.tennessee.gov/education/doc/ARRA TN Ed Overview.ppt (accessed Aug. 4, 2009.)
- ²⁶ U.S. Department of Education, "Guidance Funds for Part B of the Individuals with Disabilities Education Act Made Available Under The American Recovery and Reinvestment Act of 2009," revised April 13, 2009, pp. 7-8, http://www.ed.gov/policy/gen/leg/recovery/guidance/idea-b.pdf (accessed Aug. 7, 2009).
- ²⁷ Federal Funds Information for States, "President's FY 2010 Budget: Change That May Happen," Budget Brief 09-06, May 15, 2009, p. 1.
- ²⁸ U.S. Department of Education, Office of Elementary and Secondary Education, "Federal Funds: Education for the Disadvantaged," pp. 359-360.

Comptroller of the Treasury, Office of Education Accountability. Authorization Number 307373, 75 copies, October 2009. This public document was promulgated at a cost of \$0.71 per copy.



To conserve natural and financial resources, we are producing fewer printed copies of our publications. Please consider accessing this and other OREA reports online at www.tn.gov/comptroller/orea.



Offices of Research and Education Accountability
Phillip Doss, Director
Suite 1700, James K. Polk Building • 505 Deaderick Street
Nashville, Tennessee 37243 • (615) 401-7911

www.tn.gov/comptroller/orea

